

jc685 U.S. PTO



08/15/00

IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE
REQUEST FOR FILING
(RULE 53(b)(1))

Page 1 of 4

JC531 U.S. PTO
09/638394

08/15/00

For Design or Utility Applications

Rule 53(b)(1) PATENT APPLICATION:

(DO NOT USE FOR CIPs)

☒ Continuation)
application under 37 CFR 1.53(b)(1)

☐ Divisional)
application under 37 CFR 1.53(b)(1)
of pending prior application of

Group Art Unit: 2742

Examiner: J.Chiang

Inventor(s): BENSON, Keith

Parent Appln. No.: 08 782,244

Atty. Dkt. PM 268484

Series Code ↑

Serial No. ↑

New M#

Client Ref

Parent Filed: JANUARY 14, 1997

This Appln. Filed: AUGUST 15, 2000

Title: IMPROVEMENTS RELATING TO MOBILE PHONES

Hon. Commissioner of Patents
Washington, DC 20231Date: August 15, 2000
(Parent Matter No. 268482)

To effect the above-requested filing today:

Attached is a copy (**which must be filed**) of the prior application, including:

- ☒ Abstract
☒ Specification and claims (16 pages) (**must be attached**)
☒ Drawings (**must be attached if originally filed**): 12 sheet(s)/set: ☐ 1 set informal;
☒ Formal of size ☐ A4 ☒ 11"

Always X one box, only:

- (1) ☒ Copy of Signed declaration or oath as originally filed in prior application attached
(2) ☐ NO declaration or fee is enclosed; therefore, this is a filing under Rule 53(f).

2. ☐ This application is hereby filed by less than all of the inventors named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are **not** inventor(s) of the invention being claimed in this application (**DELETE THE FOLLOWING INVENTOR(S)**):

| | |
|----------|----------|
| 1. _____ | 2. _____ |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |

2.5 THE INVENTOR(S) FOR THIS NEW APPLICATION IS(ARE):

| | |
|----------|----------|
| 1. _____ | 2. _____ |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |

3. *The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference thereto.

4. ☒ Priority is claimed under 35 U.S.C. 119/365 based on filing in AUSTRALIA of _____
(country)

| | <u>Application No.</u> | <u>Filing Date</u> | | <u>Application No.</u> | <u>Filing Date</u> |
|-----|------------------------|---------------------|-----|------------------------|--------------------|
| (1) | <u>7551/96</u> | <u>15 JAN. 1996</u> | (2) | _____ | _____ |
| (3) | _____ | _____ | (4) | _____ | _____ |
| (5) | _____ | _____ | (6) | _____ | _____ |

- a. ☐ (No.) Certified copy/copies attached.
 b. ☒ Certified copy/copies previously filed on March 11, 1997 in _____
 U.S. Application No. 08/782,244, filed on January 14, 1997.
 series code ↑ serial no. ↑

- c. ☐ Certified copy/copies filed during International stage of PCT/ _____ / _____
 4. (a) ☐ Domestic priority is claimed from _____ / _____, filed _____
 PCT/
 (b) ☐ Benefit is claimed of Provisional Application No. 60/ _____, filed _____.

5. ☒ Prior application is assigned to FUNGE SYSTEMS INC.
 by assignment recorded FEBRUARY 28, 2000 Reel 010649 Frame 0324.
 (Date)

6. ☒ Attached is the following number of Assignments (including original and all later successive ones by different assignors): 3 and respective new Cover Sheets. (Do **NOT** file old cover sheets.)

(Assignments in parent **must be refiled** with new Cover Sheets in this continuing application if you want it/them recorded against the continuing application.)

Please return the recorded Assignment to the undersigned.

☐ The power of attorney in the prior application is to _____

(Name and Reg. No.)
 whose current address is as in item 8 below.

a. ☐ Recognize as associate attorney _____

(Name, Reg. No. and Address)

**Address all future communications to Intellectual Property Group
 of Pillsbury Madison & Sutro LLP, Ninth Floor, East Tower 1100 New York Avenue, N.W.,
 Washington, D.C. 20005-3918**

9. ☐ Amend the specification by inserting before the first line the sentence:--This is a
☒ continuation ☐ division of Application No. 08/782,244, filed JANUARY 14, 1997
 series code ↑ serial no. ↑
PENDING .--

9. (a) ☐ Amend the specification by inserting before the first line: --This application claims the benefit of
 Provisional Application No. 60/ _____, filed _____ .--

10. ☒ It has been recently determined that this new continuing application is entitled to small entity status.
 Hence:
 (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27 were/are:
☐ filed in above prior application (and hence applicable hereto)
☒ attached.

11. Petition to extend the life of the above prior application to at least the date hereof
 (one box). ☐ is being concurrently filed in that prior application (Use Form PAT-111).
 (must be) ☐ was previously filed in that prior application (Check length of prior extension).
 (X'd) ☒ is not necessary for copendency (Double check before X'ing this box).

12. ☒ **INFORMATION DISCLOSURE STATEMENT:** Attached is Form PTO-1449 listing all of the documents cited by Applicant and the PTO in the parent application(s) relied upon under 35 USC 120 and referenced in item 9 above. Per Rule 98(d) copies of those documents are not required now. Please consider those documents and advise that they have been considered in this new application as by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609. .
13. ☐ Attached is a Rule 103(a) Petition to Suspend Action.
14. ☐ **PRELIMINARY AMENDMENT to be entered before fee calculation:** (Do not make amendments here except for correction of improper multiple dependencies or cancellation of whole claims or multiple dependencies for purpose of reducing the filing fee per MPEP §§ 506 and 607; do not cancel all claims).

FILING FEE

THE FOLLOWING FILING FEE IS BASED ON

->->->-> CLAIMS AS FILED AND CHANGED BY PRELIMINARY AMENDMENT IN ITEM 14 <-<-<-<-

NOTE: If box 1A2 is X'd, do not pay fees,
but leave lines 15-22 and 27-32 blank.

PTO: PLEASE NOTE CLAIM CANCELLATIONS IF BOX 14 ABOVE IS X'D.

FIG. 1 PLEASE NOTE CLAIM CANCELLATIONS IF BOX 14 ABOVE IS X'D.

| | | | | Large/Small Entity | | Fee Code |
|--|----|------------|---|--------------------|------|----------|
| 15. Basic Filing Fee Design Application | | | | \$310/\$155 | | 106/26 |
| 16. Basic Filing Fee Not Design Application | | | | \$690/\$345 | +345 | 101/201 |
| 17. Total Effective Claims | 10 | minus 20 = | 0 | x \$18/\$9 | +0 | 103/203 |
| 18. Independent Claims | 5 | minus 3 = | 2 | x \$78/\$39 | +78 | 102/202 |
| 19. If any proper multiple dependent claim (ignore improper) is present, | | | | \$260/\$130 | +130 | 104/204 |
| 20. Subtotal = | | | | \$553 | | |
| 21. If "petition" box 13 above is X'd, add petition fee. \$130 | | | | | +0 | 122 |
| 21A. If box 6 above is X'd, add Assignment recording fee \$ 40 | | | | | +120 | 581 |
| 22. TOTAL FILING FEE ATTACHED = | | | | \$673 | | |

(carry forward to Item 31)

23. ☒ ATTACHED: POWER OF ATTORNEY FROM ASSIGNEE AND REVOCATION OF PRIOR POWERS
24. ☐ Preliminary Amendment attached (to be entered after assigning Appln. No.)
25. ☐ The following PRELIMINARY AMENDMENT is to be entered after assigning Appln. No.:

26.

**ADDITIONAL FEE CALCULATION FOR
PRELIMINARY AMENDMENT
PER BOXES 24/25**

| | Claims remaining after amendment | Highest number previously paid for | Present Extra | Large/Small Entity | Additional Fee | File Code |
|-----|--|---|------------------|--------------------|-------------------|-----------|
| 27. | Total Effective Claims * | minus ** 20 | = 0 | x \$18/\$9 | = \$ 0 | (103/203) |
| 28. | Independent Claims * | minus *** 3 | = 0 | x \$78/\$39 | = + 0 | (102/202) |
| 29. | If amendment enters proper multiple dependent claim(s) into this application for the first time, add (per application)\$260/\$130 | | | | + 0 | (104/204) |
| 30. | ADDITIONAL FEE | | | | \$ 0 | |
| 31. | plus FEE from item 22 on page 3 | | | | + | |
| 32. | TOTAL FEE ATTACHED | | | | \$ 673 | |

*If the entry in this space is less than a entry in the next space, the "Present Extra" result is "0"

**If the "Highest number previously paid for" (see item 17 above) is less than 20, write "20" in this space

If the "Highest number previously paid for" (see item 18 above) is less than 3, write "3" in this space

Our Deposit Account No. 03-3975

| | |
|---------------------|--------|
| Our Order No. 20606 | 268484 |
| C# | M# |

CHARGE STATEMENT: Upon the filing of a Declaration pursuant to Rule 60(b) or 60(d), the Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP
Intellectual Property Group**

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
KHC/amp
Atty./Sec.

By Atty: Kendrew H. Colton

Sig: 

Reg. No. 30368

Fax: (202) 822-0944

Tel: (202) 861-3606

NOTE No. 1: File this Request in duplicate with 2 postcard receipts (PAT-103) & attachments

NOTE No. 2: Is extension in parent necessary for copendency? DOUBLE CHECK Item 11 above.

If yes, printout Pat-111 and head it in parent.